

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

re application of: : Date: August 6, 2001  
Noguchi et al. :  
Serial No.: 09/818,802 : Group Art Unit: 2131  
Filed: March 27, 2001 : Confirmation No.: 9893

For: ELECTRONIC CONTENTS PROVIDING METHOD AND SYSTEM,  
AND STORAGE MEDIUM FOR STORING PROGRAM THEREFOR

RESPONSE TO FILING OF MISSING PARTS OF APPLICATION UNDER 37 CFR  
1.63  
FILING DECLARATION AND POWER OF ATTORNEY  
FILING ASSIGNMENT

To: Commissioner for Patents  
Initial Patent Examination Division  
Box Missing Parts  
Washington, D.C. 20231

Sir:

On March 21, 2001, the above-identified application was  
filed with the U.S. Patent and Trademark Office, under Rule  
1.53(f) Missing Parts.

Enclosed at this time is an original declaration, which  
includes the signatures of the three inventors. This copy has  
been altered only to indicate the serial number and filing date  
of the subject application.

Substitute drawing in compliance with 37 CFR 1.84.


Also attached for filing is the original fully executed  
Assignment for the above-identified application with the required

Recordation Form Cover Sheet, and a Petition and Fee For Extension of Time (1 month).

The fee of \$170.00 representing both the Surcharge of \$130.00 and Assignment fee of \$40.00 is to be charged to Deposit Account No.: 09-0468. A duplicate copy of this paper is attached.

A copy of the "Notice to File Missing Parts of Application-Filing Date Granted", date mailed May 7, 2001, is also attached as per your instructions.

Respectfully submitted,

by   
Louis P. Herzberg  
Reg. No. 41,500  
Tel. (914) 945-2885

IBM Thomas J. Watson Research Center  
Intellectual Property Law Department  
P.O. Box 218  
Yorktown Heights, N.Y. 10598

Attorney Docket: JP920000026US1

JP920000026US1



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER

09/818,802

FILING/RECEIPT DATE

03/27/2001

FIRST NAMED APPLICANT

Tetsuya Noguchi

ATTORNEY DOCKET NUMBER

JP920000026US1

CONFIRMATION NO. 9893

## FORMALITIES LETTER



\*OC00000006046663\*

IP CORP  
INTELLECTUAL PROPERTY LAW DEPT.  
P.O. BOX 218  
YORKTOWN HEIGHTS, NY 10598

Date Mailed: 05/07/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawings submitted to the Office are not electronically reproducible. Drawing sheets must be submitted on paper which is flexible, strong, white, smooth, non-shiny, and durable (see 37 CFR 1.84(e));

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

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09/818,802 - 03/27/2001

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

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#3 SECTOR 3

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CERTIFICATE OF MAILING UNDER 37 CFR 1.8(a)

I hereby certify that the attached correspondence comprising:

1. Acknowledgment Post Card;
2. Filing of Missing Parts of Application Under 37 CFR 1.63  
(in duplicate);
3. Declaration and Power of Attorney Form;
4. Notice to File Missing Parts of Application (return copy);
5. Substitute drawing in compliance with 37 CFR 1.84(set of 27 formal  
drawings);
6. Assignment to IBM with attached Recordation Form; and
7. Petition and Fee For Extension of Time(1 month).

is being deposited with the United States Postal Service as first class  
mail in an envelope addressed to:

Commissioner for Patents  
Initial Patent Examination Division  
Box Missing Parts  
Washington, DC 20231

on August 6, 2001

Margaret McCabe  
(Print name of person certifying)

Margaret McCabe  
(Signature of person certifying)

Docket: JP920000026US1